COMMON APPLICATION FORM

M FINANCIAL

PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and In BLOCK LETTERS (all points marked* are mandatory). For SIP investment use the separate SIP Form.

MUTUAL FUND

To Know Your KYC Status Scan Here



To check your Name as per PAN and know your latest KYC Status; send an SMS to 92129 93399, in the below mentioned format:

KYC (Space) JMF (Space) (PAN Number in Capital Letters) (Space) Date of Birth in DD/MM/YYYY (Space) Name as per PAN

Sample SMS to be sent to 92129 93399 - KYC JMF ABCDE1234F 01/01/1980 First Name (Space) Last Name

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on Bank and Branch Please note: All purchases are subject to realization of cheques and as per applicable load structure (please refer Scheme Information

Document)

THIRD APPLICANT	Mr. Ms.							
PAN/PEKRN		Dat	e of Birth D D	M M Y Y	Y CKYC No.			
Mobile No.#			Email ID.#					
	3 .	Please tick (✓) any one op			= '	dent Children	Dependent Siblin	· = =
		ase tick (✓) any one optio		<u> </u>		dent Children	Dependent Siblin	
	viii be used as the	default mode of comm	unication if the fi	nobile no. and/or Emai	i iD is furnished. + in Cas	se, not ticked, it v	will be treated to ha	ve opted out .
STATUS	I I DAR					F:		
Resident Indivi		of Minor (RI)	k Compar behalf of Minor		rate Partnership Sole Proprietor			nment Body HUF Nutual Funds
Defence Estab		PO* (Mandatory) (FCR			Others (if		ittles/NGO's N	iutuai runus
*"Non-profit organizat	tion" means any ent	, , , , , , , , , , , , , , , , , , , ,	uted for religious o	or charitable purposes ref	erred to in clause (15) of se	ection 2 of the Inco		— of 1961), that is registered as (18 of 2013).
-		Organization" [NPO] v					·	
		Income-tax Act, 1961		•	•		ies	
Act, 2013 (18 of 2		0) or any similar State	legislation of a	Company registered	under the section 8 (or the Compani	No No	
If yes, please aud	ote Registration	No. of Darpan portal o	of Niti Aayog				<u> </u>	
If not, please register i	mmediately and co	nfirm with the above infor	mation. Failure to g					ill force MF / AMC to register
		I may report to the relevan I to deduct such fines/char						equired under the respective
OVERSEAS APP	PLICANT DETA	AILS [APPLICANTS	FROM US and	d CANADA WILL I	NOT BE ACCEPTED	(Refer Instru	uction No 7.)]	
ADDRESS (Mandato	ry for NRI/FII appl	icant)						
Country				Zip Code		TIN No. (Mand	datory)	
	•	- Refer Instruction	No. XIII for o	details)				
OCCUPATION (Ple	Business	Service Pro	fessional	Agriculturist	Housewife Stud	ent Defe	ance Court of	fficial Forex Dealer
First Applicant	Unlisted Co	ompany Body (Corporate	Listed Company	Private Ltd.	Public Ltd.		Ticial Forex Dealer
GROSS ANNUAL II	· .							
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Second Applicant	For Individual	Below 1 Lac 1 - 5 L	acs 5 - 10 La	cs 10 - 25 Lacs	> 25 Lacs - 1Crore	> 1 Crore Occu	pation (Please spec	ify)
Third Applicant	For Individual	Below 1 Lac 1 - 5 L	acs	cs 10 - 25 Lacs	> 25 Lacs - 1Crore	> 1 Crore Occu	pation (Please spec	ify)
	OSED PERSON (Please tick ✓) (refer ¡				<u>'</u>		,,
First Applicant		ally Exposed Person		d to Politically Expose		ot Applicable	<u> </u>	
Second Applicant	I am Politic	ally Exposed Person	I am relate	d to Politically Expose	d Person No	ot Applicable		
Third Applicant	I am Politic	ally Exposed Person	I am relate	d to Politically Expose	d Person No	ot Applicable		
For Non-Individua	als (Companies,	Trust, Partnership et	c.) (Please tick	√)				
Foreign Exchan	ge / Money Chang	ger Service Gamin /	Gambling / Lott	ery / Casino Services	Money Lending /	Pawning No	ot Applicable	
3. FATCA/CRS	DETAILS MAN	IDATORY FOR IND	IVIDUALS (No	n Individual Investors s	hould mandatory fill sep	arate FATCA/CRS o	details form) (F	Refer Instruction No. XVIII)
	rst Applicant / G			2nd Applicant			3rd Applicant	POA
Place & Country of	Birth :	/	Place & Countr	ry of Birth :	/	Place & Count		/
Country	Tax Payer Ref ID No	Identification Type [TIN or other, please specify]	Country	Tax Payer Ref ID No	Identification Type [TIN or other, please specify]	Country	Tax Payer Ref ID No	Identification Type [TIN or other, please specify]
1.			1.			1.		
2.			2.			2.		
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		ls Refer instruction	1 No. 5)*?? Inv	estment in more than	one Scheme cheque sh	ould be issued in	n favor of JM FINAN	CIAL MUTUAL FUND -
		psum purchase only)		Dlan	Ontion		Sub Option	Amount
Sr. No. 1. JM	Scheme Name			Plan	Option		Sub Option	Amount
2. JM								
3. JM							Total	

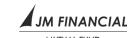
*In case of any ambiguity / incomplete information, the default plan / option / sub-option will be applicable as per the scheme's Key Information Memorandum, Scheme Information Document & Statement of Additional Information. ?? Investor desirous of investing directly with the AMC without availing the services of any Distributor/Broker, will have to clearly tick "Direct" under above column titled as "Plan".

5. BAN	NK A	CCOL	JNT	DE	TAILS																												(Refer	Instru	ıction l	No. IV)
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6. INV	ESTI	ΛENT	AN	D P	AYM	EN ⁻	T DE	TAIL	.S (F	Pls re	fer Inst	ructi	ions/	KIM) I	For	each	арр	licati	ion a	nd fo	or ea	ıch p	lan/c	ptio	n se	par	ate c	hec	que / I	DD	to be	su	bmitte	ed.		
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CHECKLIST Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary / Authorised signatory / Notary Public)

Documents	Individual	Companies	Societies	Partnership Firms	Investment through POA	Trusts	NRI	FIIs	PIO
Copy of PAN Card / PEKRN	✓	✓	✓	✓	✓	✓	✓	✓	
Resolution/ Authorisation to invest		✓	✓	✓		✓		✓	
List of authorised signatories with specimen signatures		✓	✓	✓	✓	✓		✓	
Memorandum & Articles of Association		✓							
Trust Deed						✓			
Byelaws			✓						
Partnership Deed				✓					
Overseas Auditor Certificate								✓	
Notarised POA					✓				
KYC Compliance	✓	✓	✓	✓	✓	✓	√	✓	✓
PIO Card									1
Foreign Inward Remittance Certificate							✓		/
Aadhaar	✓								

SIP & SPECIAL SIP REGISTRATION FORM



or first time investors, kin	diy submit this form along with Co	пппоп Аррисацоп і	ionn)		MUIUAL FUND
	DISTRIBUTOR INFORM	MATION		FOR OFFIC	E USE ONLY
Name & ARN of Distributor /	Employee Unique	Sub-Broker	No. Internal Sub-Broker Code	In-House number as per K-BOLT	Date , Time and Number as per
RIA Code*	Identification No. (EUIN)^	ARN Code	(as alloted by Distributor)	in-nouse number as per k-boli	Time Stamping Machine
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ARN-

Mandatory: Furnishing of EUIN is mandatory for all transactions (Purchase/Switch/SIP/STP) or following declaration should be signed by the investor (Please 🗸 the box). Declaration: "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

*RIA/Declaration: |/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all schemes managed by you to the above mentioned SEBI registered investment adviser/RIA. SIGNATURE (s) Se<u>cond Applicant</u> "Upfront commission shall be paid directly by the investor to the AMFI registered Distributor based on the investor's assessment of various factors including the service rendered by the distributor". TRANSACTION CHARGES (PLEASE ✓) I am an Existing Investor in Mutual Funds Lam a First Time Investor in Mutual Funds In case the commitment amount is \equiv 10,000/- or more and your Distributor has opted to receive Transaction Charges, \equiv 150 (for rst time mutual fund investor) or \equiv 100/- (for investor other than rst time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested. **UNITHOLDER INFORMATION (MANDATORY)** Folio Number (for existing Unit Holder): Name (as in PAN card/KYC records) M/s. PAN Date of Birth Mobile CKYC No. **SIP Details** J-OTM K-OTM SIP Registration Mode OTM Reference No. (if Multiple One Time Mandate are registered) **TOP-UP Facility SIP Date Enrollment Period** Scheme / Plan / Option Frequency **SIP Amount** (DD) (MMYY) Frequency **Top-up SIP Amount** Daily Weekly Default SIP Date 5th Quarterly From TOP-UP CAP AMOUNT Fortnightly Monthly Half Yearly То ___ Yearly ₹ Quarterly (Weekly SIP) Daily ₹ in figures Default SIP Date 5th Quarterly From TOP-UP CAP AMOUNT Fortnightly Monthly Half Yearly To ₹ Yearly Quarterly (Weekly SIP) Daily Weekly ₹ in figures Default SIP Date 5th Ouarterly From TOP-UP CAP AMOUNT Fortnightly Monthly Half Yearly Tο in figures Quarterly (Weekly SIP) SIP initial payment details (Optional) Drawn on bank / branch name Amount __ Cheque/DD Cheque/DD Dated In case of multiple SIP, mention "JM Financial Mutual Fund - Collection Account" on the payment instrument. Declaration and Signature (to be signed by all unit holders if mode of holding is 'joint') 1/We declare that the particulars furnished here are correct. I / We authorize JM Financial Mutual Fund acting through its service providers to debit my / our bank account towards payment of SIP installments and/ or any lumpsum payments throw time to time. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We will also inform JM Financial Mutual Fund about any changes in my bank a Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account. You/ Sole Applicant / Guardian JM FINANCIAL **DEBIT MANDATE FORM NACH** MUTUAL FUND UMRN: Date Tick (✓) Sponsor Bank Code: **Utility Code** CREATE MODIFY I/We hereby authorize: **JM Financial Mutual Fund** to debit (tick ✓) SB/CA/CC/SB-NRE/SB-NRO/Other CANCEL Bank a/c number: with Bank IFS(or MICR an amount of Rupees Fixed Amount FREQUENCY Mthly Qtly H-Yrly Yrly As & when presented Maximum Amount Debit Type Reference 1 Phone No. Reference 2 All Schemes of JM Financial Mutual Fund I/We agree for the debit of mandate processing charges by the bank whom I am/we are authorizing to debit my/our account as per latest schedule of charges of the bank. **PERIOD**

• This is to confirm that the declaration has been carefully read, understood & made by me/us. I am/We are authorizing the user entity / corporate to debit my/our account.

From to

The Maximum validity for this Mandate is for 40 years

• I/We have understood that I am/we are authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate or the bank where I/We have authorized the debit.

TOP UP SIP FACILITY - TERMS AND CONDITIONS

Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21
Business days before the first SIP Installment date. Refer below table.

Mandate Type/ Modes of Registration	Timeline for Registration
Physical Mandate	21 calendar days
NACH / OTM (New)	21 calendar days
NACH / OTM (Already Registered)	7 calendar days
ISIP	21 calendar days

Note: NACH/I-SIP registrations depends on the Payment Aggregator and the Investor Bank's confirmation. Any delay from them will further delay the SIP deduction.

- Maximum limit of 1 Crore for Physical OTM/ E-mandate/ E-Sign with effect from October 01, 2023.
- If investor did not mention the frequency the default frequency will Monthly and default day is Monday for Weekly frequency.
- 4. Investor shall have the option of choosing any date of the month as the SIP date. If SIP date is not mentioned, default date would be considered as 5th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day.
- Default Option for SIP The Investor is required to furnish all the stipulated details in the Application, SIP Mandate, NACH Forms etc. However, in case, any Investor fails to mention the "start date" and/ or "end date" for the SIP Instalment, the NACH application may be rejected by the NPCI.

Further, If Investor fails to mention the "start date" the default date is 5th of the subsequent month, after completing 30 days from the date of registration of SIP. The maximum end period for any New SIPs registered through the OTMs will be up to 30 years in line with the OTM or the maximum period of the SIP is not more than 40 years.

6. Please refer below table for minimum SIP amounts and minimum SIP instalments:

Frequency under SIP Facility	Minimum Amount	Minimum Number of installments	Minimum Discontinuation Notice period from Investor	Auto Cancellation Condition
Weekly	Rs. 100 and in multiples of Re.1/- thereafter	24 Installments	15 Calendar days for	
Fortnightly	Rs. 100 and in multiples of Re.1/- thereafter	12 Installments	physical request and 7 calendar days for the	In case, 3 consecutive instalments fail due to insufficient funds
Monthly	Rs. 100 and in multiples of Re.1/- thereafter	12 Installments	requests received on Online Portals.	
Quarterly	Rs. 250 and in multiples of Re.1/- thereafter	4 Installments		In case, 2 consecutive instalments fail due to insufficient funds

Note: For all schemes, minimum amount is as per above table and thereafter in multiple of Rs. 1. For JM ELSS Tax Saver Fund Minimum amount is as per above table and thereafter in multiple of Rs. 500*.

- 7. If no amount is mentioned NACH application minimum SIP installment amount would be considered.
- For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
- 9. The SIP will be discontinued automatically if payment is not received for three successive instalments.
- 10. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar KFin Technologies Limited. Notice of such discontinuance should be received at least 21 days prior to the due date of the next installment / debit. Further, same can be stopped through our website through investor portal.
- 11. Mandate will be processed through NACH platform offered by NPCI.
- 12. As per SEBI circular dated August 22, 2011, Transaction Charge per commitment of Rs. 10,000/- and above shall be charged from the investors and shall be payable to the distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscriptions / new inflows only (lumpsum and SIP), subject to the following:
- $\bullet \qquad \text{For Existing / New investors: Rs. 100 / Rs. 150 as applicable per commitment of Rs. 10,000/— and above.}$
- Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to Rs. 10,000/and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
- There shall be no transaction charge on commitment below Rs. 10,000/-.

- There shall be no transaction charges on direct investments.
- There shall be no transaction charges for transaction other than purchases/ subscriptions relating to new inflows such as Switches, etc.
- Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges.

The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount. However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

- 13. Investor will not hold JM Financial Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. JM Financial Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
- 14. Investor can change bank details for SIP by submitting a "CHANGE OF BANK MANDATE FOR SIP" form available on the website or at any Investor Service Centre along with cancelled cheque of the new bank with the investor's name printed on it.
- 15. TOP-UP Facility: Under this facility the Investor can increase the SIP installment at predefined intervals by a fixed amount or any time as per the request. This facility is available for individual investors only. For availing the said facilities, investors are required to note the following:
- Investor willing to register TOP-UP should provide the TOP-UP details along with the SIP enrolment details.
- The minimum amount for JM Financial Mutual Fund TOP-UP facility is Rs. 100/- and in multiples of Rs. 100/- for all
 schemes: except JM ELSS Tax Saver Fund the minimum amount is Rs. 500 and in multiples of Rs. 500 thereafter.
- If no amount is mentioned as TOP-UP amount under frequency quarterly, half yearly and yearly, minimum TOP-UP
 amount would be considered. i.e., Rs. 500/- for all schemes.
- TOP-UP frequencies available are Quarterly/ Half-Yearly/ Yearly.
- In case TOP-UP frequency is not indicated, it will be considered as Yearly by Default.
- TOP-UP will continue till the End of the SIP tenure by default.
- In case an investor wishes to change the Top-Up amount, he/she must provide a cancellation for the existing SIP and register fresh SIP.
- Only TOP-UP cannot be discontinued anywhere during the SIP tenure.
- Please see the illustration below to know how to calculate SIP Top-Up amount:

SIP Tenure: 10 Jan 2023 to 10 Dec 2028 ; Monthly SIP Installment: Rs. 2000/-												
TopUp Amount: Rs.1000/- ; Top-Up Frequency: Yearly												
Installment No(s)	From Date	To Date	Monthly SIP Installment	SIP Top-Up Amount								
1 to 12	10-Jan-23	10-Dec-23	2000	NA								
13 to 24	10-Jan-24	10-Dec-24	3000	1000								
25 to 36	10-Jan-25	10-Dec-25	4000	1000								
37 to 48	10-Jan-26	10-Dec-26	5000	1000								
49 to 60	10-Jan-27	10-Dec-27	6000	1000								

- Once the Top-up cap amount reached the upper limit, the Top-up will be discontinued. However, SIP will continue
 with Top-Up cap amount for remaining period of SIP tenure.
- 17. As per the latest circular number NPCI/2023-24/NACH/008 dated August 18,2023 from NPCI, the maximum end period for any New SIPs registered through the OTMs will be up to 30 years in line with the OTM or the maximum period of the SIP is not more than 40 years, with effect from April 01, 2024. Existing SIPs will not get impacted on the above conditions