

Application Form
Please read Key Information Memorandum, the instructions and product labelling before filling this application

KEY PARTNER/AGENT INFORMATION	l (Investors Applying under direct pl	an should mention "DIRECT" in ARN (Column)							
Distributor/ RIA Code	Sub Agent ARN	Sub Agent Code/Bank Branch Code/ Internal Code	*Employee Unique Identification Number (EUIN)							
ARN-										
* EUIN Declaration (Only where EUIN box is left blank		EUIN box has been intentionally left blank by me/us as								
	or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor/sub broker and the distributor has not charged any advisory fees on this transaction. (Refer Instruction No XII)									
Signature of Sole/First Applicant/Guard	ian Signature of S	econd Applicant	Signature of Third Applicant							
1. EXISTING INVESTOR FOLIO NUMBE	ER	2. MODE OF HOLDING	[Please tick (✓)]							
	<u></u>	Single Joint	(Default) Any one or Survivor							
3. TRANSACTION CHARGES FOR APP	DI ICANTS THEOLIGH DISTRIBUTOR	PS ONLY (Please Refer Instruction No.)	f [Please tick (√)]							
I am a First time investor across Mutual		•	[Flease tick (v)]							
		as opted to receive transactions charges, the	same are deductible as applicable from the							
purchase/subscription amount and paid the 4. APPLICANT DETAILS (Mention nam			Date of Birth for all holders)							
Sole/First Mr. /Ms. /M/s	e as per your PAN / Income Tax Dep	ditilient (110)) (Mandatory to mention	DOB D D M M Y Y Y Y							
Name of Guardian if first applicant is minor/	Mr. /Ms./M/s									
Contact Person for non individuals	Guardian's Relationship with	Minor Proof of Date of B	irth and Guardian's Relationship with Minor							
Date of Birth of Guardian	Father Mother Cour		Passport Others (Please specify)							
PAN / PEKRN	CKYC ID									
LEI No.:	(CKIN) Valid up	Note: LEI No. is for Non Individ	s Mandatory for transaction amount ₹50 Crs and above ual. (Refer instruction No.XV)							
Resident Individual Sole Proprietor Public Limi	ited Company FPI Category I Banks	☐ Body Corporate ☐ Trust /Society/ NGOs* (Enter	Registration No. of Darpan Portal)							
	mited Company 🔲 FPI Category II 📗 Defence Establishmer	nt 🔲 AOP/BOI 💮 Non Profit Organization/Char	ities* (Enter Registration No. of Darpan Portal) * Mandatory to fill Point No. 11 of this							
On Behalf of Minor Financial Institutions Partnershi	ip Firm/LLP FPI Category III Government Body	☐ Mutual Fund FOF Schemes ☐ Others (Please specify)	Application Form.							
Are you involved / providing any of the mentioned services : (App	licable only for Non Individuals) Foreign Exchange/ Money Lending / Pa	, ,	ng / Lottery / Casino Services e							
Correspondence Address (Address details will be up		Overseas Address (Mandatory for NRI / FPI Applic	<u> </u>							
House/FI Street Ac		House/F Street A								
	State	City/Town	State							
Country	Pin Code	Country	ZIP Code							
Tel. (Res.) (STD Code)	Tel. (Off.) (Cbuntry Code)	Fax (C	ountry Code)							
Mobile No.	Email ID (CAPITAL	Letters Only)								
Email ID belongs to			an in case of minor Others							
Mobile No. belongs to ☐ Self ☐ Spouse Investors providing Email Id would mandatorily receive		<u> </u>	an in case of minor Othersse register your Mobile No & Email Id with us to get							
transaction alerts via SMS & Email, respectively. I hereb Physical mode (Applicable only for investors who have		e in Mobile Number/Email ID. 🗌 I wish to receive scher	me wise annual report or abridged summary through							
Second Applicant Mr. /Ms./M/s			DOB D D M M Y Y Y							
PAN / PEKRN	CKYC ID (CKIN)		STATUS : Resident Individual NRI							
Mobile No.	Email ID (CAPITAL	Letters Only)								
Email ID belongs to Self Spous			an in case of minor Others							
Mobile No. belongs to ☐ Self ☐ Spouse			an in case of minor Others							
Third Applicant Mr. /Ms./M/s			DOB D D M M Y Y Y							
PAN / PEKRN	CKYC ID		STATUS : Resident Individual NRI							
	(CKIN)	Lattera Only								
Mobile No.	Email ID (CAPITAL		and the control of miles of the Control							
Email ID belongs to Self Spous			an in case of minor Othersan in case of minor Others							
Name of the Investor Mr/Ms/M/s :	IUTUAL FUND - ACKNOWLEDGEME	NT SLIP FOLIO NO	D							
Scheme Name, Plan & Option:	Plan:	Option: PAN NO	D							
Amount (₹):	ent. Please retain this slip, duly acknowledged by the off	icial collection center till you received APPLICATION NC).							
your account statement.										
www.heliosmf.in	ustomercare	@helioscapital.in	18002100168 (Toll Free Number)							

e DEN	1AT A 000U	NT INCODMAT	ION /Massalata	6 15	41			,					
		NT INFORMAT vestment in demater							ransaction Cum I	Holding Stateme	nt/ Cancelle	d delivery i	instruction slip.
NSDL	DP Name	e			DP	PID I N				eficiary ount No.			
CDSL	DP Name	e				neficiary count No.							
6. BAN	NK ACCOUN	IT DETAILS MA	ANDATORY fo	or Redempti	on / IDCW	/ Refunds.	if anv	(Refer in	struction No	o.III)			
Accoun			M a	<u> </u>	t o r	y				,	3 🗌 Curre	nt 🗌 NR	O NRE FCNR
Name o	of Bank	M	a n d a	t o r	у				Bank I	Branch			
Branch	City		PIN		IF	SC Code F	or C	redit	via RT	\neg	ICR Code		
Please ens	sure the name on	this application form a	nd in your bank, acco	ount is the same. N	Mandatory to atta	ach proof in case	the pay-or	ut bank accou	nt is different from	the bank accoun	t from where i	investment	is made.
7. INVE	ESTMENT &	PAYMENT DE	TAILS (Separate	Application Form	is required for in	vestment in each	n Plan/Opti	ion. Multiple c	heques not permit	ted with Single A	pplication For	rm) (Refer	instruction No.IV)
Scheme	e: Helios									Plan (Select	any one)	☐ Dire	ect Regular
Option	Growth ((default)	☐ Income	Distribution Cu		thdrawal optio	•	V)		ency (if any)	e)		
Mode of	f Payment [Cheque	DD Funds T		OTBM Facilit	ty (One Time	Bank Ma	andate) [RTGS / NEF				
Investm	nent Amount (₹)	DD Charges (₹) Net Amou	unt (₹) Insti	rument No/TR	No.	Date		Drawn on B	ank	Bank Bra	nch	City
						DDI	M M Y	YYY					
8. FAT	CA and CR	S DETAILS - M	landatory for Ind	lividuals - No	n Individual	Investors sho	ould mar	ndatorily fil	l separate FA1	CA/CRS deta	ils Form (R	Refer inst	ruction No.XIV)
Please in		untries in which yo		for tax purpos				ion Numbe	r and it's Ident	ification type e			
		irst Applicant/Gua Tax Payer	ardian Identification	1 -		Second Appl Tax Payer		Identifica	tion -		Third A	pplicant aver	Identification
Cou 1	intry	Ref. ID No	Туре	Cour	ntry	Ref. ID No		Туре	Co	ountry	Ref. ID		Туре
2													
3													
Country	of Birth of Nationality			Country of	of Birth of Nationality					y of Birth y of Nationalit	v		
		sidence is only India	then details of Cour			ot be provided. I	In case Ta	ax Identification				unctional e	quivalent.
9. ADD	DITIONAL KY	C DETAILS (F	or Non Indivi	duals, pleas	e attach m	andatory L	JBO De	eclaration	n Form) (Ma	ndatory)			
9. ADD		C DETAILS (F Government Service Public Sector			Business		JBO De		Agriculturist	ndatory) Forex Dealer	Others		
	PATION	Sovernment Service	e/ Private Sector									se specify)	
OCCUP 1st Appl 2nd App	PATION G	Sovernment Service	e/ Private Sector								(pleas	se specify)	
OCCUP 1st Appl 2nd App 3rd Appl	PATION Glicant	Sovernment Service	Private Sector Service	Professional	Business	Housewife	Retired				(plea:	se specify)	
OCCUP 1st Appl 2nd App 3rd Appl Guardia	PATION G	Government Service Public Sector	e/ Private Sector Service	Professional	Business	Housewife	Retired	Student	Agriculturist	Forex Dealer	(plea:	se specify) se specify)	
OCCUP 1st Appl 2nd App 3rd Appl Guardia	PATION G	Sovernment Service	Private Sector Service	Professional	Business	Housewife	Retired		Agriculturist	Forex Dealer	(plea: (plea: (plea: (plea: (plea:	se specify) se specify) se specify)	Date
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli	PATION G	Government Service Public Sector	e/ Private Sector Service	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business	Housewife	Retired	Student	Agriculturist	Forex Dealer Ore NET-WOI (Net wort	(plea: (se specify) se specify) D D	M M Y Y Y Y
OCCUP 1st Appl 2nd App 3rd Appl Guardia	PATION Control of Cont	Government Service Public Sector	e/ Private Sector Service	Professional	Business	Housewife	Retired	Student	Agriculturist	Forex Dealer	(please (please) (pl	se specify) se specify) D D	M M Y Y Y Y M M M Y Y Y Y
1st Appl 2nd Appl 3rd Appl Guardia GROSS 1st Appli 2nd App	PATION Control of Cont	Government Service Public Sector	e/ Private Sector Service	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business	Housewife	Retired	Student	Agriculturist	Forex Dealer Ore NET-WOI (Net world not be	(please (please) (pl	se specify) se specify) se specify) D D D D D D	M M Y Y Y Y M M M Y Y Y Y
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE	PATION Continuation Continua	Government Service Public Sector	e/ Private Sector Service ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Retired	Student Student	Agriculturist	Forex Dealer Ore NET-WOI (Net word not be than 1	(plear (se specify) se specify) D D D D D D	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you	PATION Continuation Continua	COME DETAILS Exposed Person (P	e/ Private Sector Service ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student 25 Lacs-1 (pplicant No	Agriculturist	Forex Dealer One NET-WOI (Net work not be than 1 3rd Applicant Yes No	(plear (se specify) se specify) be specify) D D D D D D D D D D D D	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appl 2nd App 3rd Appl Guardia PEP DE Are you	PATION Continuation Continua	COME DETAILS Exposed Person (Politically Exposed	Private Sector Service Below ₹1 Below ₹1 Below ₹1 Private Sector Service	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student Student Student Student Student Student No No No No	Agriculturist □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Forex Dealer One NET-WOI (Net word not be than 1 3rd Applicant Yes No	(plea: (se specify) se specify) se specify) DDD DDD Y	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y Guardian es No es No
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appl 2nd App 3rd Appl Guardia PEP DE Are you Are you 10. PO	PATION Continuation Continua	COME DETAILS Exposed Person (Politically Exposed	Private Sector Service Below ₹1 Below ₹1 Below ₹1 Private Sector Service	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student Student Student Student Student Student No No No No	Agriculturist □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Forex Dealer One NET-WOI (Net word not be than 1 3rd Applicant Yes No	(plea: (se specify) se specify) se specify) DDD DDD Y	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y Guardian es No es No
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you Are you of 1	PATION Continuation Continua	COME DETAILS Exposed Person (Politically Exposed (PoA))	Private Sector Service Below ₹1 Below ₹1 Below ₹1 Below ₹1 Below ₹1	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student Student Student Student Student Student No No No No	Agriculturist □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Forex Dealer One NET-WOI (Net word not be than 1 3rd Applicant Yes No	(please (please) (pl	se specify) se specify) se specify) DDD DDD Y	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y Guardian es No es No
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you Are you of 1	PATION Continuation Continua	COME DETAILS Exposed Person (Politically Exposed	Private Sector Service Below ₹1 Below ₹1 Below ₹1 Below ₹1 Below ₹1	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student Student Student Student Student Student No No No No	Agriculturist □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Forex Dealer Ore NET-WOI (Net word not be than 1 3rd Applicant Yes No Yes No	(please (please) (pl	se specify) se specify) se specify) DDD DDD Y	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y Guardian es No es No
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you Are you First Appl	PATION Continuation Continua	COME DETAILS Exposed Person (Politically Exposed TTORNEY (POA) Name Mr. /Ms.	Private Sector Service Below ₹1 Below ₹1 Below ₹1 A) HOLDER D	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student 25 Lacs-1 (Applicant No No e by a Cc	Agriculturist □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Forex Dealer	(plear (se specify) se specify) se specify) D D D Y Y Sish the C D M M	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y Guardian es No es No
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you Are you 10. PO of First App PAN Original F	PATION Continuation Continua	COME DETAILS Exposed Person (Politically Exposed TTORNEY (POA) Name Mr. /Ms.	Private Sector Service Below ₹1 Below ₹1 Below ₹1 A) HOLDER D M/M/s POA needs to be sul	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business Graph Control Contro	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student 25 Lacs-1 (pplicant No e by a Co	Agriculturist □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Forex Dealer	(plear (se specify) se specify) se specify) D D D Y Y Sish the C D M M	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y
1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you Are you 10. PO of I First Appl Original F	PATION Continuation Continua	COME DETAILS EXPOSED PERSON (POLITICALLY (POA.)) Name Mr. /Ms.	Private Sector Service Below ₹1	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Student 25 Lacs-1 (pplicant No No th applicant v XVI).	Agriculturist Agriculturist Crore >₹1 Crore Agriculturist	Forex Dealer	(please (please) (pl	se specify) se specify) se specify) D D D D D D D D D D D D D D D D D D D	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appl 2nd App 3rd Appl Cuardia PEP DE Are you Are you 10. PO of First Appl Original F 11. NO	PATION Continue to the property of the proper	COME DETAILS EXPOSED PERSON (POLITICALLY (POA)) Name Mr. /Ms. Trotarized copy of PORGANIZATIO T'Non-Profit Organizand is registered as a	Private Sector Service Below ₹1 B	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife	2nd A Yes □ Yes □ Yes □ The purposes	Student Student 25 Lacs-1 (Applicant No No e by a Cc th applicant v	Agriculturist	Forex Dealer	(plear (se specify) se specify) se specify) your se specify se	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you Are you 10. PO of I First Appl Original F 11. NO	DATION Continuation Continua	Every property of Portion of Politically Exposed Person (Politically Exposed Politically Exposed Politically Exposed Portion of Politically Exposed Portion of Politically Exposed Portion of Portion	Private Sector Service Below ₹1 B	Professional Lac ₹1-5 La 1s Ye Ye ETAILS (If to LARATION has been constituted the Societion	Business □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Housewife	2nd A Yes □ Yes □ Yes □ The purposes	Student Student 25 Lacs-1 (Applicant No No e by a Cc th applicant v	Agriculturist	Forex Dealer	(plear (se specify) se specify) se specify) your se specify se	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y
OCCUP 1st Appl 2nd App 3rd Appl Guardia GROSS 1st Appli 2nd App 3rd Appl Guardia PEP DE Are you Are you 10. PO of First Appl Original F 11. NO We if you entity	PATION Continuation Continua	COME DETAILS EXPOSED PERSON (POLITICALLY) EXPOSED PERSON (POLITI	Private Sector Service Below ₹1 B	Professional □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Business Busine	Housewife	Retired □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □ □	Student Stu	Agriculturist Agriculturist Crore >₹1 Cro Agriculturist Agric	Forex Dealer	(please (please) (pl	se specify) se specify) se specify) your se specify	M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y M M Y Y Y Y

Helios Capital Asset Management (India) Private Limited 515 A, 5th Floor, The Capital Plot C70, Bandra-Kurla Complex Bandra East, Mumbai-400 051.

Computer Age Management Services Ltd New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H. Road), Chennai-600 034.

⊕ www.heliosmf.in	customercare@helioscapital.in	18002100168 (Toll Free Number)

		WODE (Nonlination Si	iaii be optional i	or jointly nela mutual Fu	nd Folios) (Refer inst	ruction No.	vi for details)		
A) I/We wish to nominate as under	im	plications / issues involved in non- / / our legal heir(s) would need to	appointment of any non submit all the requisite of	nt any nominee(s) for my mutual funinee(s) and am' are further aware to documents issued by the Court or so inits in favour of the legal heir(s), bas	hat in case of my demise / dea uch other competent authority,	th of all the unit ho as may be requir	olders in the folio, red by the Mutual		
Name of Nominee(s) (IN CAPITALS) (Mandatory)	PAN	Nominee Relationship (Mandatory)* (Proof to be attached)	Date of Birth N	lame of the Guardian (Mandatory PAN and Address of Guardian (Optional)	Relationship with	Signature of Nominee (Optional)/	Allocation % to each Nominee (should		
			(Mandatory to b	e furnished in case the Nominee is a minor)	Nominee (Mandatory)	Guardian of Nominee (Mandatory)	aggregate to 100%) (Mandatory)		
				NAME	Mother				
Nominee 1			DD MM YYYY	PAN	Father Legal Gaurdian				
				NAME	Mother				
Nominee 2			DD MM YYYY	PAN	Father Legal Gaurdian				
				NAME	Mother				
Nominee 3			DD MM YYYY	PAN	Father Legal Gaurdian				
I/We have read and understood the in of the folio(s) mentioned above.	structions on nomination	and I/We hereby undertake to	abide by the same. Th	e instructions contained herein su	upercedes all previous nomi	nations made by	me/us in respect		
To be signed by ALL holders, irrespec	tive of Mode of Holding of	r Mode of Operation							
POA holder cannot nominate. Si	gnature of Sole/First Ap	pplicant	Signature of Se	cond Applicant	Signature of	Third Applican	t		
3. RESOLUTION OF DISPU	ITES (For Instituti	onal or Corporate Clie	ents) (Refer inst	ruction No.XVII)					
Smart ODR OR	By harnessing any i	ndependent institutional m	ediation, conciliatio	n and/or online arbitration in:	stitution in India.				
14. DECLARATION AND SI									
I/We have read, understood the terms and conditions of the SID/KIM/SAI and the addendums issued thereto till date, as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962, and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I //We hereby apply to the Trustees for allotment of Units of the Scheme(s) of Helios Mutual Fund ("Fund") and confirm and declare as follows: I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling /Judgment etc. passed by SEBI/Statutory Authority or Courts in India and Foreign aws. I/We am/are authorised to make this investment as per the Constitutive documents/ authorization(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted									
by the Government of India or any Statutory Authority. RIA Declaration: I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/NAV etc. in respect of my/our investments under Direct Plar of all Schemes managed by you, to the above-mentioned SEBI-Registered Investment Adviser/RIA. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the above information is given by the undersigned and the particulars given by me/us are correct and complete. I/We hereby agree and authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/up- dates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation / submission, any Indian or foreign statutory, regulatory									
judicial, quasi-judicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FÍU-IND) etc without any intimation/advice to me/us. I/We have read and understood the purpose(s), as described under the Privacy Policy of the AMC, for which my/our details can be shared with various entities/people/authorities indicated in foregoing Para and I/We hereby consent to the same. I/we hereby confirm that I/we have not been offered/ communicated any indicative portfolio and/or any indicative yield by the Fund/AMC/fits distributor for this investment. I/We hereby authorize the representatives of the Fund/AMC and its Associates to contact me through any mode of communication. This will override the registry on DND / DNDC, as the case may be.									

CHECKLIST FOR APPLICATION FORM

IMPORTANT POINTS TO BE NOTED:

- * Effective from April 1, 2024, KYC status for the investors new to Helios Mutual Fund should be Validated or the application should be submitted along with the duly completed Re-KYC form with valid proofs. For more information refer following link: https://www.heliosmf.in/kyc-norms/
- * As per the New PAN validation process effective from April 30, 2024, name & date of birth on the application should be mentioned as per Income Tax Department (ITD) / PAN Card.
- Effective from May 15, 2024, Non-Resident Indian (NRI) Investors, can transact in Mutual Fund till April 30, 2025, if their KYC status is "Registered.
- It is mandatory to provide nomination details or opt out declaration for folios opened by individuals with single mode of holding. Nomination shall be optional for jointly held Mutual Fund folios.

Please submit the following documents with your application (where applicable)

1 10030	rease submit the following documents with your application (where applicable)										
SR.No.	Documents	Individuals	NRIs/ PIO/ OCI	Minors	Companies / Body Corporates	Trusts	Societies	HUF	Partnership Firms / LLP	FPIs	Investments through Constituted Attorney
1	Signed A/c Payee cheque/draft favouring the scheme	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
2	Copy of cancelled cheque (Required where pay out bank details are different from the instrument bank)	~	✓	~	✓	✓	✓	✓	√	✓	✓
3	Resolution / Authorisation to invest				✓	✓	✓		✓	✓	
4	List of Authorised Signatories with Specimen Signature(s)				✓	√	✓		✓	✓	✓
5	Memorandum & Articles of Association				✓						
6	Trust Deed					✓					
7	Bye-Laws						✓				
8	Partnership Deed / Deed of Declaration							✓	✓		
9	Proof of PAN & KYC / CKYC - KIN number (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
10	Foreign Inward Remittance Certificate		✓							✓	
11	Date of Birth Certificate or School Living Certificate or Passport of Minor evidencing relationship with Guardian			✓							
12	Declaration for Identification of Beneficial ownership				✓	✓	✓	✓	√	✓	✓
13	FATCA / CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
14	PIO/OCI (As applicable)		✓								





www.heliosmf.in

SYSTEMATIC INVESTMENT PLAN & TOP UP FORM

18002100168 (Toll Free Number)

Mar term he tye	Cub Ament ARN	Cub Amark Carla (Barris Barris	ah Cada/lutawal	Code Em		Islandification Number		
Distributor/ RIA Code	Sub Agent ARN	Sub Agent Code/Bank Bran	ch Code/Internal	Code Em	pioyee Unique	Identification Number		
manager/sales person of the above d sub broker and the distributor has not RIA Declaration: I/We hereby give yo	listributor/sub broker or notwith charged any advisory fees on ou my/our consent to share/pro	n intentionally left blank by me/us as this tr standing the advice of in-appropriateness, this transaction. If no ARN is mentioned in ovide the transactions data feed/portfolio hent Adviser/RIA. For Transaction Charges	if any, provided by t vestment will be trea oldings/NAV etc. in	the employee/relation ated as Direct.	ship manager/sa	les person of the distributor		
Signature of Sole/First Applicant	:/Guardian	Signature of Second Applica	nt	S	ignature of Third	d Applicant		
FOLIO No. Sole/First Applicant (Mr./Ms.): FIRST NAME MIDDLE NAME LAST NAME								
DETAILS OF SIP INVESTMENT	Scheme:							
Cheque No:		Date:		ank:				
Each SIP amount: ₹	SIP Frequen	cy (✓) ☐ Weekly (Mention Day) [Fortnightly (1st	or 16th Monthl	y (Any Date)	Quarterly (Any Date)		
CID Data	Manual Manual Manual	CID Ford N	as applicable)	4 NA V V V		(Default - 10th)		
	Month/Year: MMM	n submit a photocopy of a cancelled cheq	Month/Year	k statement for which	one time bank r	mandata ia ta ha ragiatara		
·								
	P-UP Frequency (✓):	Half Yearly ected, then the default option will be Ye	•	IP, the SIP TOP-UF	frequency avai	lable is Yearly)		
•		Variable SIP TOP-UP:		5% □ 20% □	other	(multiples of 5% only)		
Minimum Top-Up Amount is Rs.100				070 <u> </u>	00101	(manapiec el e/e emy)		
SIP TOP-UP Start Month/Year:	·	SIP Top Up End Month/Year	MYYY	Y				
DEMAT ACCOUNT INFORMA If you wish to hold your investment Cancelled delivery instruction slip t	in dematerialised mode, ple	ase furnish the below details and enclose	se a copy of the Cl	lient Master/Transac	ction Cum Holdi	ng Statement/		
	at you may have received			Beneficiary				
		Beneficiary		Account No				
CDSL DF Name		Account No.						
Signature of Sole/First Applica		case you have existing folio) (M Signature of Second Applican		Signa	ature of Third Ap	plicant		
helios		ONE TIME BANK N (NACH/OTM/Direct Debit M						
MUTUAL FUND Har term ke liye	UMRN FOR	OFFICE USE	O N L Y		Date D	0 M M Y Y Y Y		
Tick (✓) Sponsor Ba	ank Code FOR	OFFICE USE ONLY	Utility Code	FOR O	FFICE USE ONLY			
CREATE ✓ I/We hereby a	authorize HELIOS I	MUTUAL FUND to debit (tic		A □ CC □ SB-NR	E SR NDO	Othor		
MODIFY X		WOTOAL FORD to debit (tick		A _ CC _ 3B-NN	.L 3B-NKO	Other		
OANOLL A	c number							
with Bank	Name of customers Bank	IFSC		or MIC				
an amount of Rupees FREQUENCY Mthly	Qtly H-Yrly	Amount in words Yrly As & when presen	tod DERII	T TYPE Fixe	ed Amount	✓ Maximum Amount		
PAN	Quy Ziiiiy	Iny W As a when present	Phone		- Amount	y maximum Amount		
Reference			Email					
	essing charges by the bank whom I	am authorizing to debit my account as per latest						
		d and made by me/us. I am authorizing the User e priately communicating the cancellation/amendm						
PERIOD	, , , , , , , , , , , , , , , , , , , ,	,		,				
From D D M M	Y Y Y Y Sig	nature of Primary Account Holder	Signature of Acco	ount Holder	Signature	of Account Holder		
To DD MM	<u> </u>				-			
		Name as in Bank records 2.	Name as in Ban	•	Name a	s in Bank records		
As per the NPCI circular dated Octob	per 31, 2023, effective April 1,2024,	the mandate can be for a maximum duration of	40 years from the date	of application.				
ACKNOWLEDGEMENT - HELIO			FOI	LIO No.				
			SIP Amount: ₹					
Fixed SIP Top-Up Amount: ₹								
				. ,				

customercare@helioscapital.in

TERMS AND CONDITIONS

- Please refer Scheme Information Document (SID) and Key Information Memorandum (KIM) for applicable NAV, Risk Factors, Load (exit/entry) and other information before investing.
- Completed Application form and SIP Enrollment Form along with the first cheque should be submitted to the AMC Branches/CAMS ISCs
- Investors can either submit a cheque for the first Installment or can submit a photocopy of a cancelled cheque of the bank/branch for which NACH is to be registered.
- SIP will be auto cancelled by the AMC in case of 3 consecutive failed debit attempts for SIPs
 with weekly, fortnightly & monthly frequencies/intervals. In case of quarterly frequency, the
 same shall stand cancelled upon 2 consecutive failed debit attempts.
- NACH/eNACH mode of payments will be available for investments in SIP.
- Investors can avail NACH facility by duly filling up and submitting the SIP Enrolment cum NACH Mandate Form. The cheques should be in favor of "Helios Flexi Cap Fund" and crossed "Account Payee Only", and the cheques must be payable at par.
- In case of fresh/additional purchases, if the name of the Scheme on the application form/ transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the Scheme mentioned on the application form/ transaction slip.
- 8. In case of fresh/additional purchases, if the Scheme name is not mentioned on the application form/transaction slip, then the units will be allotted under the Scheme mentioned on the Cheque/Demand Draft. The Option that will be considered in such cases if not specified by the customer will be the default option of the Scheme as per the SID. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the right to allot units in the option under which units were allotted at the time of fresh purchase.
- Further, Investors/ unitholders subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date. SIP start date shall not be beyond 100 days from the date of submission of request.
- All terms and conditions for SIP/STP, including Exit Load, if any, prevailing in the date of SIP/ STP enrolment/ registration by the fund shall be applicable.
- 11. Units will be allotted for the amount net of the bank charges, if any. On receipt of the post-dated cheques, the Registrar/AMC will send a letter to the Unitholder confirming that his/her name has been included in the Systematic Investment Plan. The cheques will be presented on the dates mentioned on the cheque and Units will be allotted accordingly. A fresh Account Statement / Transaction Confirmation will be mailed to the Unitholder, indicating the new balance to his/her credit in the Account. An investor will have the right to discontinue the Systematic Investment Plan, subject to giving 15 days prior notice to the subsequent SIP date.

Minimum number of instalments and amounts under various frequencies are as below:

Frequency	Specified date*	Minimum amounts per instalments	Minimum number of instalments
Weekly	Any day (Monday to Friday) (If no day is selected Monday will be the default day)	Rs. 1000/- and in multiples of Re. 1 thereafter	12
Fortnightly	1st and 16th day of each month, as applicable (1st and 16th of the month will be the default date).	Rs. 1000/- and in multiples of Re. 1 thereafter	12
Monthly (Default Frequency)	Any date (10th will be the default date)	Rs. 1000/- and in multiples of Re. 1 thereafter	12
Quarterly	Any date (10th will be the default date)	Rs. 1000/- and in multiples of Re. 1 thereafter	6

*In case the date chosen for SIP falls on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.

- 12. New Investor If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application. In case multiple schemes are mentioned in the main application form, Fund reserves the right to reject the SIP request.
- 13. Existing Investor If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP in the existing scheme (Eligible for SIP) available in the investor's Folio. In case Multiple Schemes or Equity Linked Savings Scheme (ELSS) are available in the folio then Fund reserves the right to reject the SIP request.
- 14. In case SIP date is not selected, if multiple SIP dates are opted for or if the selection is not clear then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable.
- 15. If the investor has not mentioned the SIP start Month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
- 16. In case the end date mentioned on SIP form falls beyond the end date mentioned in the fresh / new One Time Mandate (OTM) form or beyond the end date mentioned in the registered existing OTM, then SIP shall be registered as per the end date of the OTM mandate.
- 17. In case SIP "end Month/Year" is incorrect or not mentioned by the investor in the SIP form, then the AMC reserves the right to consider the SIP end date as five years from the start date by default.
- For SIP applications received during NFO Period, the SIP start date shall be at least 30 days after the NFO allotment date.

SIP TOP UP Facility: Investors can opt for SIP TOP UP facility with Fixed Top Up option or Variable Top Up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both options, the Variable Top Up option shall be triggered.

The Fixed TOP up amount shall be in multiple of Rs 1000/- and in multiple of Rs 100 thereafter.

Variable TOP UP would be available in at 10%, 15% and 20% and such other denominations (over and above 10%, 15% and 20%) as opted by the investor in multiples of 5%.

The frequency is fixed at Yearly and Half Yearly basis. In case the top up frequency is not specified, Default will be considered as yearly frequency.

In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

SIP Top-Up facility shall also be available for the existing investors who have already registered for SIP facility without Top-Up option. The SIP Top-up request shall be registered within 15 days before the next trigger of the existing SIP

SIP Top-up facility can be started after minimum 6 months from the date of 1st SIP. If the end-

date of the Top-up facility is not mentioned the Top-up facility will be continued up till the tenure of the SIP. For example, if the end date of the Top-up facility is not mentioned; then the Top-up will continue till the end of SIP tenure.

The top-up details cannot be modified once enrolled. In order to make any changes, the investor must cancel the existing SIP and enroll for a fresh SIP with Top up option.

In case, the SIP Top up is cancelled, the SIP will not be ceased. The existing SIP will not ceased and SIP will be continue with the last topped up amount.

All other terms & conditions applicable for regular SIP will also be applicable to Top-up SIP. The Trustee / AMC reserves the right to change / modify the terms of the SIP from time to time on a prospective basis.

Fixed TOP-UP: With this option, investors can increase SIP amount at regular intervals with fixed amount. The minimum TOP-UP amount has to be Rs.100 and in multiples of Rs.100 thereof. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. In case of Quarterly SIP, only the yearly frequency is available under SIP TOP UP.

Please view the illustration below for Fixed Top-up.

- SIP Tenure: 10 Oct 2023 to 10 Sept 2028 Monthly SIP Installment: Rs. 5000/-
- TopUp Amount: Rs.1000/- Top Up Frequency: Yearly
- Top-up Start Date : 10/01/2024 and End Date : 10/09/2027

Instalment No(s)	From Date	To Date	Monthly SIP Instalment Amount	SIP Top-Up Amount	SIP Amount with TOP-UP
1 to 12	10-Oct-23	10-Sep-24	5000	N.A	5000
13 to 24	10-Oct-24	10-Sep-25	5000	1000	6000
25 to 36	10-Oct-25	10-Sep-26	6000	1000	7000
37 to 48	10-Oct-26	10-Sep-27	7000	N.A	7000
49 to 60	10-Oct-27	10-Sep-28	7000	N.A	7000

Variable TOP-UP: With this option, investor can increase SIP amount at regular interval, TOP-UP amount will be based on the percentage (%) opted by investor of SIP amount. For said option SIP TOP-UP frequency is at half yearly and yearly basis. The minimum TOP-UP percentage (%) should be 10% and in multiple of 5% thereof. Also, the TOP-UP amount will be rounded off to the nearest highest multiple of Rs.10.

Please view the illustration below for Variable Top-up.

- SIP Tenure: 10 Oct 2023 to 10 Sept 2028 Monthly SIP Installment: Rs. 5000/-
- Top Up %: 10 % Top Up Frequency: Yearly
- Top-up Start Date: 10/01/2024 and End Date: 10/09/2027

Instalment No(s)	From Date	To Date	Monthly SIP Instalment Amount	SIP Top-Up Amount	SIP Amount with TOP-UP
1 to 12	10-Oct-23	10-Sep-24	5000	N.A	5000
13 to 24	10-Oct-24	10-Sep-25	5000	500	5500
25 to 36	10-Oct-25	10-Sep-26	5500	550	6050
37 to 48	10-Oct-26	10-Sep-27	6050	N.A	6050
49 to 60	10-Oct-27	10-Sep-28	6050	N.A	6050

Investors can either opt for a Fixed Top-up facility or Variable Top-up facility under SIP Top-up. In case, Investor opts for both the options, then Variable top-up feature shall be triggered. In case the TOP UP facility is not opted by ticking the appropriate box and/or frequency is not selected, the TOP UP facility may not be registered.

In a scenario where investor selects multiple % option under variable SIP Top-up plan, higher percentage will be considered and the other % will be ignored.

Micro Systematic Investment Plan (Micro SIP): The unit holder will have the facility of Micro SIP under the current Systematic Investment Plan facility. The Minimum Investment amount per installment will be as per applicable minimum investment amount of the respective Scheme. The total investment under Micro SIP cannot exceed Rs. 50,000/-.

Micro Investment: With effect from October 30, 2012, where the aggregate of the lump sum investment (fresh purchase & additional purchase) and Micro SIP installments by an investor in a financial year i.e April to March does not exceed 50,000/- it shall be exempt from the requirement of PAN. However, requirements of PEKRN (KYC) shall be mandatory. Accordingly, investors seeking the above exemption for PAN still need to submit the KYC Acknowledgement, irrespective of the amount of investment. This exemption will be available only to Micro investment made by the individuals being Indian citizens (including NRIs, Joint holders, minors acting through guardian and sole proprietary firms). PIOs, HUFs, QFIs and other categories of investors will not be eligible for this exemption.

INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

- Along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate, failing which registration may not be accepted. The Unit holder(s) cheque/bank account details are subject to third party verification.
- 2. Please mention the amount in figures and words.
- Please fill all the required details in the Debit Mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.
- The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
- The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

As per the Mandate circular (NPCI/NACH/OC No. 010/2023-24) dated October 31, 2023, effective April 1, 2024, the mandate can be for maximum duration of 40 years from date of application.

Mutual Fund investments are subject to market risks, read all scheme-related documents carefully.